



# Apogee Wealth Partners, LLC

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## PRIVACY POLICY

Investment advisers are required by law to inform their clients of their policies regarding privacy of client information. We are bound by professional standards of confidentiality that are even more stringent than those required by law. Federal law gives the customer the right to limit some but not all sharing of personal information. It also requires us to tell you how we collect, share, and protect your personal information.

### TYPES OF NONPUBLIC PERSONAL INFORMATION (NPI) WE COLLECT

We collect nonpublic personal information about you that is either provided to us by you or obtained by us with your authorization. This can include but is not limited to your Social Security Number, Date of Birth, Banking Information, and Financial Account Numbers and/or Balances. When you are no longer our customer, we may continue to share your information only as described in this notice.

### PARTIES TO WHOM WE DISCLOSE INFORMATION

All Investment Advisers may share personal information to run their everyday business. For example, we may use or share nonpublic personal information as follows:

- ▶ For everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations;
- ▶ For our marketing – to offer our products and services to you;
- ▶ For joint marketing with affiliated companies;
- ▶ For our affiliates' everyday business purposes – information about your transactions and experiences and information about your creditworthiness; or
- ▶ To comply with federal, state or local laws or other legal requirements.

In addition, the law allows advisors to disclose certain nonpublic personal information to non-affiliated third parties. For example, advisors may share or sell client information for marketing purposes. **It is the expressed**

**policy of Apogee Wealth Partners not to share your nonpublic information for marketing purposes with any non-affiliated third parties with whom we do not have a formal joint marketing agreement.**

If you are a new customer, we may begin sharing your information on the day you sign our agreement. When you are no longer our customer, we may continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

### PROTECTING CONFIDENTIALITY OF CURRENT AND FORMER CLIENTS' INFORMATION

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law, including computer safeguards and secured files and building.

### OPTING OUT

Federal law allows you the right to limit the sharing of your NPI by “opting-out” of the following: sharing for non-affiliates' everyday business purposes – information about your creditworthiness; or sharing with affiliates or non-affiliates who use your information to market to you.

**DEFINITIONS:** Affiliates – companies related by common ownership or control. They can be financial and non-financial companies; Non-affiliates – companies not related by common ownership or control. They can be financial and non-financial companies; Joint marketing – a formal agreement between non-affiliated financial companies that together market financial products or services to you.

***Please call if you have any questions. Your privacy is very important to us.***